



# Application Form for Covenant Not to Sue CGS Section 22a-133aa

Please complete this form in accordance with the instructions (DEP-CNTSAA-INST-300) to ensure the proper handling of your application. Print or type unless otherwise noted.

DEP USE ONLY

Application No.

## Part I: Applicant Information

1. Identify the prospective purchaser or owner of the real property, or the lending institution requesting a covenant not to sue pursuant to Section 22a-133aa of the Connecticut General Statutes (CGS) as amended by Public Act 98-253:

Name:

Mailing Address:

City/Town:

State:

Zip Code:

Business Phone:

ext.

Fax:

Contact Person:

Title:

Identify whether the applicant is the:

☐ Prospective Purchaser

☐ Owner

☐ Lending Institution

If the applicant is a lending institution, complete Item #3 below.

☐ Check here if there are co-applicants. If so, label and attach additional sheet(s) to this sheet with the required information.

2. List primary contact for departmental correspondence and inquiries, if different than the applicant.

Name:

Mailing Address:

City/Town:

State:

Zip Code:

Business Phone:

ext.

Fax:

Contact Person:

Title:

3. If the applicant is a lending institution, identify the prospective purchaser or owner of the real property who has conveyed or who will convey a security interest in such property.

Name:

Mailing Address:

City/Town:

State:

Zip Code:

Business Phone:

ext.

Fax:

Contact Person:

Title:

## Part I: Applicant Information (continued)

3. List attorney or other representative, if applicable:

Firm Name:

Mailing Address:

City/Town:

State:

Zip Code:

Business Phone:

ext.

Fax:

Attorney:

4. List any engineer(s) or other consultant(s) employed or retained to assist in preparing the application.

Name:

Mailing Address:

City/Town:

State:

Zip Code:

Business Phone:

ext.

Fax:

Contact Person:

Title:

Service Provided:

☐ Check here if additional sheets are necessary, and label and attach them to this sheet.

## Part II: Property Information

1. Description of real property to which the covenant not to sue will be applicable ("the property"):

Street Address or Description of Location:

City/Town:

State:

Zip Code:

Description in Property Deed:

Recorded on page \_\_\_\_\_ of volume \_\_\_\_\_, of the Town of \_\_\_\_\_  
land records, as lot \_\_\_\_\_, block \_\_\_\_\_ on map \_\_\_\_\_ in the Tax Assessor's Office.

*Please submit, as Attachment A, an A-2 survey showing the property.*

2. Current owner of the property as it appears in Land Records (if applicant identified in Part 1, Item 1 is not the current owner):

Name:

Mailing Address:

City/Town:

State:

Zip Code:

Business Phone:

ext.

Fax:

Contact Person:

Title:

## Part II: Property Information (continued)

3. Current use of the property:

4. Number of people currently employed at the property:

5. Description of the jobs currently supported at the property:

6. Proposed use of the property:

7. Number of existing jobs which will be maintained:

8. Number of additional jobs to be created by the proposed use:

9. Description of the existing jobs to be maintained and additional jobs to be created:

## Part II: Property Information (continued)

10. Brief description of history of use and ownership of the subject property, to the extent known:

11. Description of the nature and extent of soil, surface water and groundwater contamination at the property, the sources of the contamination, and the dates of release of the contaminants to the environmental media, to the extent known. Attach additional sheets if necessary. *Please reference or attach, as Attachment B, a copy of an environmental assessment report for the property which describes the nature, extent and degree of contamination in more detail.*

12. Has a detailed written plan for remediation of the soil and groundwater contamination at the property in accordance with the standards adopted by the Commissioner pursuant to CGS Section 22a-133k, as amended, been approved by the Commissioner of the Department of Environmental Protection?

☐ Yes ☐ No

If yes, provide the following information:

Title of Plan:

Preparer of Plan:

Date of Plan:

Date of Approval:

*Please attach, as Attachment C, a copy of the Approval of Remediation Plan.*

*Please attach, as Attachment D, a copy of the approved Remediation Plan (optional).*

## Part II: Property Information (continued)

13. Has a final remedial action report which describes the completion of remedial actions at the property been approved by the Commissioner of the Department of Environmental Protection?

☐ Yes ☐ No

If yes, provide the following information:

Title of Report:

Preparer of Report:

Date of Report:

Date of Approval:

*Please attach, as Attachment E, a copy of the Approval of Final Remedial Action Report, if applicable.*

14. Please explain why the issuance of a covenant not to sue is in the public interest:

15. Provide the name of the appraiser and appraisal information for the property:

Name:

Mailing Address:

City/Town:

State:

Zip Code:

Business Phone:

ext.

Fax:

Contact Person:

Title:

Date of Appraisal:

Appraised value of the parcel:

Did appraiser assume the property is uncontaminated? ☐ Yes ☐ No

### Part III: Supporting Documents

Please check the attachments submitted as verification that *all* applicable attachments have been submitted with this application form. When submitting any supporting documents, please label the documents as indicated in this part (e.g., Attachment A, etc.) and be sure to include the applicant's name.

- |                          |               |   |
|--------------------------|---------------|---|
| <input type="checkbox"/> | Attachment A: | A-2 Survey  |
| <input type="checkbox"/> | Attachment B: | Environmental Assessment Report ( <i>optional</i> )     |
| <input type="checkbox"/> | Attachment C: | Approval of Remediation Plan                            |
| <input type="checkbox"/> | Attachment D: | Remediation Plan ( <i>optional</i> )                    |
| <input type="checkbox"/> | Attachment E: | Approval of Final Remedial Action Report, if applicable |

Note: Please submit the Application Form, including the appropriate Certification Form and all Supporting Documents to:

REMEDATION DIVISION  
BUREAU OF WATER PROTECTION AND LAND REUSE  
DEPARTMENT OF ENVIRONMENTAL PROTECTION  
79 ELM STREET  
HARTFORD, CT 06106-5127

#### Part IV: Certification Form A: Applicant Is One or More Individuals, or a Partnership

(All individuals and partners must sign)

I have personally examined and am familiar with the information contained in this application and all attachments, and certify that, based on reasonable investigation, including my inquiry of those individuals responsible for obtaining the information, the submitted information is true and accurate to the best of my knowledge and belief.

I certify that, to the best of my knowledge, I have not established or created a facility or condition at or on the property described in Part II, Item #1 of this form which reasonably could be expected to create a source of pollution to the waters of the state for purposes of CGS Section 22a-432.

I certify that, to the best of my knowledge, I am not responsible pursuant to any other provision of the Connecticut General Statutes for any pollution or source of pollution on the subject property.

I certify that, to the best of my knowledge, I have no affiliation with any person responsible for the pollution or source of pollution on the subject property through any direct or indirect familial relationship or any contractual, corporate, or financial relationship, other than by purchase of the subject property or by acquisition of the subject property by court judgment of foreclosure.

I certify that I will redevelop the subject property for productive use or continue productive use of the property provided the commissioner determines the covenant not to sue is in the public interest.

I understand that a false statement in this document or its attachments may be punishable as a criminal offense, in accordance with Section 22a-6 of the General Statutes, pursuant to Section 53a-157b of the General Statutes, and in accordance with any other applicable statute."

*Authorized Signature(s)*

Date Signed

Name of Person Signing (print or type)

Title (if applicable)

Name of Partnership, if applicable

STATE OF \_\_\_\_\_ }  
COUNTY OF \_\_\_\_\_ } SS. \_\_\_\_\_  
(Town)

The foregoing was subscribed to and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_,

by \_\_\_\_\_

*(Name of Authorized Signatory for Applicant, Title and Company, if applicable)*

who personally appeared, and that person, as such, satisfactorily proven to be authorized to do so, as applicant, executed the foregoing instrument for the purposes therein contained.

Signature of Notary/Commissioner of Superior Court

Name of Notary/Commissioner of Superior Court  
(print or type)

My commission expires     /     /

☐ Check here if additional signatures are required. If so, please reproduce this sheet and attach signed copies to this sheet.

## Part IV: Certification Form B: Applicant is a Corporation

"I have personally examined and am familiar with the information contained in this application and all attachments, and certify that, based on reasonable investigation, including my inquiry of those individuals responsible for obtaining the information, the submitted information is true and accurate to the best of my knowledge and belief.

I certify that, to the best of my knowledge,

*(Name of Corporation)*

has not established, created, or maintained a facility or condition at the property described in Part II, Item #1 which reasonably could be expected to create a source of pollution to the waters of the state for purposes of CGS Section 22a-432.

I certify that, to the best of my knowledge, this corporation is not responsible pursuant to any other provision of the Connecticut General Statutes for any pollution or source of pollution on the subject property.

I certify that no principal owner, executive officer, or director of this corporation has any affiliation with any person responsible for the pollution or source of pollution on the subject property through any direct or indirect familial relationship or any contractual, corporate, or financial relationship, other than by purchase of the subject property or by acquisition of the subject property by court judgment of foreclosure.

I certify that this corporation will redevelop the subject property for productive use or continue productive use of the property provided the Commissioner determines the covenant not to sue is in the public interest.

I understand that a false statement in this document or its attachments may be punishable as a criminal offense, in accordance with Section 22a-6 of the General Statutes, pursuant to Section 53a-157b of the General Statutes, and in accordance with any other applicable statute."

*Authorized Signature(s)*

Date Signed

Name of Person Signing (print or type)

Title (if applicable)

Name of Corporation

STATE OF

}

}

SS.

COUNTY OF

}

*(Town)*

The foregoing was subscribed to and sworn to before me this                      day of                      , 20                      ,

by

*(Name of Authorized Signatory for Applicant, Title and Company, if applicable)*

who personally appeared, and that person, as such, satisfactorily proven to be authorized to do so, as applicant, executed the foregoing instrument for the purposes therein contained.

*Signature of Notary/Commissioner of Superior Court*

Name of Notary/Commissioner of Superior Court  
(print or type)

My commission expires    /    /



**Part IV: Certification Form C: Applicant Is A Municipal, State or Federal Agency or Department**

"I have personally examined and am familiar with the information contained in this application and all attachments, and certify that, based on reasonable investigation, including my inquiry of those individuals responsible for obtaining the information, the submitted information is true and accurate to the best of my knowledge and belief.

I certify that, to the best of my knowledge,

*(Name of Agency or Department)*

has not established or created a facility or condition at or on the property described in Part II, Item #1 which reasonably could be expected to create a source of pollution to the waters of the state for purposes of CGS Section 22a-432.

I certify that

*(Name of Agency or Department)*

is not affiliated with any person responsible for the pollution or source of pollution on the subject property through any contractual, corporate, or financial relationship, other than by purchase of the subject property or by acquisition of the subject property by court judgment of foreclosure.

I certify that

*(Name of Agency or Department)*

will redevelop the property for productive use or continue productive use of the subject property provided the Commissioner determines the covenant not to sue is in the public interest.

I understand that a false statement in this document or its attachments may be punishable as a criminal offense, in accordance with Section 22a-6 of the General Statutes, pursuant to Section 53a-157b of the General Statutes, and in accordance with any other applicable statute."

*Authorized Signature(s)*

Date Signed

Name of Person Signing (print or type)

Title (if applicable)

Name of Municipal, State or Federal Agency or Department

STATE OF }

}

SS.

COUNTY OF }

*(Town)*

The foregoing was subscribed to and sworn to before me this                      day of                      , 20                      ,

by

*(Name of Authorized Signatory for Applicant, Title and Company, if applicable)*

who personally appeared, and that person, as such, satisfactorily proven to be authorized to do so, as applicant, executed the foregoing instrument for the purposes therein contained.

*Signature of Notary/Commissioner of Superior Court*

Name of Notary/Commissioner of Superior Court  
(print or type)

My commission expires    /    /

**Part IV: Certification Form D: Lending Institution Requesting a Covenant Not to Sue**

"I have personally examined and am familiar with the information contained in this application and all attachments, and certify that, based on reasonable investigation, including my inquiry of those individuals responsible for obtaining the information, the submitted information is true and accurate to the best of my knowledge and belief.

I certify that, to the best of my knowledge,

*(Name of Lending Institution)*

has not established, created, or maintained a facility or condition at the property described in Part II, Item #1 which reasonably could be expected to create a source of pollution to the waters of the state for purposes of CGS Section 22a-432.

I certify that, to the best of my knowledge, this lending institution is not responsible pursuant to any other provision of the Connecticut General Statutes for any pollution or source of pollution on the subject property.

I understand that a false statement in this document or its attachments may be punishable as a criminal offense, in accordance with Section 22a-6 of the General Statutes, pursuant to Section 53a-157b of the General Statutes, and in accordance with any other applicable statute."

*Authorized Signature(s)*

Date Signed

Name of Person Signing (print or type)

Title (if applicable)

Name of Lending Institution

STATE OF

}

SS.

COUNTY OF

}

*(Town)*

The foregoing was subscribed to and sworn to before me this                      day of                      , 20                      ,

by                      .

*(Name of Authorized Signatory for Applicant, Title and Company, if applicable)*

who personally appeared, and that person, as such, satisfactorily proven to be authorized to do so, as applicant, executed the foregoing instrument for the purposes therein contained.

*Signature of Notary/Commissioner of Superior Court*

Name of Notary/Commissioner of Superior Court  
(print or type)

My commission expires      /      /